



## Review of Integration Schemes

**Date:** 9/6/2021

**Completed by:** Paul Currie

**Area:** Strategic Integration

<p><b>Situation</b></p>	<p>NHS Lothian is a party to the four integration schemes across its area. Section 44 of the Public Bodies (Joint Working) (Scotland) Act 2014 requires the NHS Board and the relevant Local Authority/ies to jointly carry out a review of each scheme every five years to identify whether any changes to the scheme are necessary or desirable. This must be undertaken prior to the expiry of the period of 5 years from the date that the Scottish Ministers approved each scheme.</p> <p>An integration scheme review in Lothian was due to be completed in 2020. Given the circumstances of the COVID-19 pandemic this was delayed. Arrangements are now being progressed, by NHS Lothian, to take forward the joint review in partnership with Local Authorities and HSCP officers.</p> <p>A minor revision was carried out to the integration schemes in June 2019 to include reference to the Carers (Scotland) Act 2016. This is the version of the scheme that will undergo review.</p>						
<p><b>Background</b></p>	<p>The dates of the orders which established each of our Integration Joint Boards in Lothian are:</p> <table border="1" data-bbox="277 920 1517 1032"> <thead> <tr> <th data-bbox="277 920 895 954">Date of Order</th> <th data-bbox="895 920 1517 954">Integration Joint Board</th> </tr> </thead> <tbody> <tr> <td data-bbox="277 954 895 987">27 May 2015 (<a href="#">SSI 2015 No 222</a>)</td> <td data-bbox="895 954 1517 987">East Lothian, Edinburgh, Midlothian</td> </tr> <tr> <td data-bbox="277 987 895 1021">17 June 2015 (<a href="#">SSI 2015 No 266</a>)</td> <td data-bbox="895 987 1517 1021">West Lothian</td> </tr> </tbody> </table> <p>Given the legal requirement to review schemes within 5 years of the date of approval, the four joint Section 44 reviews across Lothian should have been completed by Spring/Summer of 2020. In May 2020, Lothian NHS Board discussed and approved a delay to the reviews. A similar consideration was undertaken in Council governance structures across Lothian.</p> <p>In May 2021 the Scottish Government surveyed the position nationally in terms of the status of review completion in each IJB area, and any plans to take these forward. Lothian areas intimated taking forward a review in 2021 (see timetable in Appendix 1).</p>	Date of Order	Integration Joint Board	27 May 2015 ( <a href="#">SSI 2015 No 222</a> )	East Lothian, Edinburgh, Midlothian	17 June 2015 ( <a href="#">SSI 2015 No 266</a> )	West Lothian
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<p><b>Assessment</b></p>	<p>NHS Lothian is now working to progress a formal review process and is beginning to engage with Lothian Local Authority Chief Executives to seek support for a joint exercise to be undertaken.</p> <p>Given that NHS Lothian is party to four Integration Schemes, an overarching and common approach is proposed for the review, per a developing project structure (Appendix 2). This should allow greater consistency in the approach across all IJB areas both to the review exercise and any resultant changes to the core text of the Integration Schemes or the services delegated to the Lothian IJBs.</p>						
<p><b>Recommendations</b></p>	<p>East Lothian Integration Joint Board is asked to:</p> <ul style="list-style-type: none"> <li>• Note the need for the parties to the Integration Scheme (NHS Lothian and East Lothian Council) to take forward a joint 'section 44' review, following delay in 2020.</li> <li>• Note the review project management arrangements being developed, including engagement with the Local Authority and with IJB voting Members, finalisation of review group membership and the timescale (attached appendices).</li> <li>• Note HSCP officer participation in the review process.</li> </ul>						



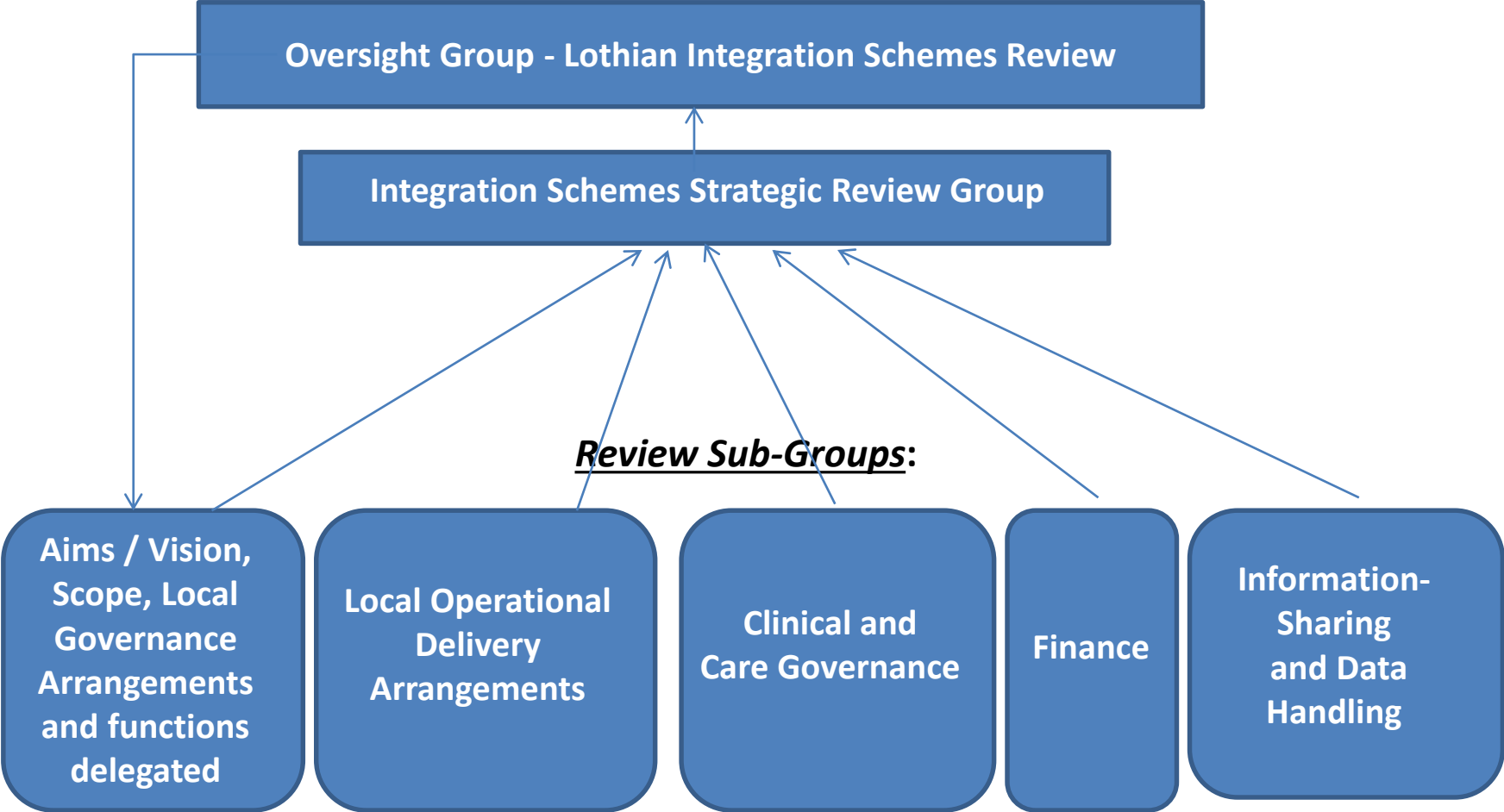
## Appendix 1 – Integration Scheme Review Timeline

INDICATIVE TIMELINE FOR REVIEW	
Project Initiation – Develop approach; Engage all parties	May-21
Establish Review Groups required – (a) Joint Oversight Group (b) Strategic Review Group to manage process / edit the refreshed scheme text (c) Review sub-groups to review selected sections.	Jun-21
Sub-groups to review sections and redraft text / provide feedback	Jul-21
	Sub-group redrafts back by End July / Mid August
Sub-groups:	
• Aims and Vision, Scope, Local Governance Arrangements, and functions delegated	
• Local Operational Delivery Arrangements	
• Clinical and Care Governance	
• Finance	
• Information-Sharing and Data Handling	
Revised sections – reported to Strategic Review Group.	End July / Mid August 2021
Revised sections - shared with Oversight Group (and any wider Council and Health Board interests) for comment/feedback – including need for consultation at this stage.	Aug/Sept 2021
Strategic Review Group to review and finalise draft / First draft of whole schemes (4 schemes) available	End of September 2021
Draft reviewed by Legal services	Oct-21
Final drafts to Oversight Group and obtain feedback from wider Council/Health Board as required	Mid October 2021
Revised version to prescribed consultees for comment	End October 2021
Revised version to Oversight Group	Nov-21
Submit final version to Councils, Health Board for approval and IJB for information	From November 2021: City of Edinburgh Council: Date TBC East Lothian Council: Date TBC Midlothian Council: Date TBC West Lothian Council: Date TBC Lothian NHS Board: Date TBC IJB's for noting: Date TBC
Submission to Scottish Government	Dec-21



## Appendix 2 – Review of Lothian Integration Schemes – Proposed approach

# REVIEW OF LOTHIAN INTEGRATION SCHEMES - Structure



# Oversight Group - Lothian Integration Schemes Review

- Oversee the work of the Strategic Review Group
- Consider and advise on any changes required to the overall Aims, Vision, Scope, Local Governance arrangements and functions delegated in the Integration Schemes, , and advise on our consultation strategy
- Sign-off the review output / revised content for review schemes
- Approve the submission of finalised review output, following consultation, for subsequent approval via Council Committee / Executive and NHS Board ahead of jointly submitting revised schemes to the Scottish Ministers for approval

## Purpose of the review:

- Section 44 of the [Public Bodies \(Joint Working\) Scotland Act 2014](#) - local authority and the Health Board to carry out a review of the scheme before the expiry of the relevant period for the **purpose of identifying whether any changes to the scheme are necessary or desirable.**

**Minor changes only/  
no successor scheme required**

**Major changes/  
successor scheme required**

**Jointly prepare a successor scheme:**

- Set out additional functions that are to be delegated
- Remove current functions that are no longer to be delegated
- Change the method of determining amounts to be made available (in respect of delegated functions)
- Change the method of determining payments
- Change or remove any information included in the scheme

- Proportionate consultation / jointly consult and take account of any views expressed
- Submit for approval locally
- Must jointly submit the revised scheme to the Scottish Ministers for approval
- Must publish the revised scheme once approved.

- Larger consultation / jointly consult and take account of any views expressed
- Submit for approval locally
- Must jointly submit the revised scheme to the Scottish Ministers for approval
- Must publish the revised scheme once approved.

## **Oversight Group Membership - proposed**

Professor Alex McMahon, Executive Director, Nursing, Midwifery and Allied Healthcare Professionals, Executive Lead, REAS and Prison Healthcare, NHS Lothian (NHSL)

Tracey Gillies, Executive Medical Director, NHSL

Susan Goldsmith, Director of Finance, NHSL

Colin Briggs, Director of Strategic Planning, NHSL

West Lothian Council nominee(s) – Policy / Finance Lead

East Lothian Council nominee(s) – Policy / Finance Lead

Edinburgh Council nominee(s) – Policy / Finance Lead

Midlothian Council nominee(s) – Policy / Finance Lead

IJB Chief Officers

# Integration Schemes Strategic Review Group – Remit and membership

## **Remit:**

- 1/ Agree timetable and process - realistic timetable aligned across all 4 areas as much as possible*
- 2/ Agree the detailed aims and scope of the review*
- 3/ Support areas for review, and sub-groups*
- 4/ Report to the Oversight Group*
- 5/ Support any development of a successor scheme(s)*

## **Group membership proposed:**

- Peter McLoughlin, NHSL, Chair
- Paul Currie, Interim General Manager - Strategic Integration, East Lothian HSCP (or as may be nominated)
- Mairi Simpson, Integration Manager, Midlothian HSCP (or as may be nominated)
- Tony Duncan, Head of Strategic Planning, Edinburgh Integration Joint Board (or as may be nominated)
- Lorna Kemp, Lorna Kemp, Project Officer – West Lothian Integration Joint Board (or as may be nominated))
- Legal services representative(s)
- Finance – IJB Chief Finance Officer

Involve other officers via project sub-group structure.



## Sub-Groups

**1/ Aims and Vision, Scope, Local Governance Arrangements, and functions delegated** – to be covered by Oversight Group

**2/ Local Operational Delivery Arrangements** –

· Operational Role of the IJB · Support for Strategic Planning · Lothian Hospital Strategic Plan · Professional Technical and Administrative support services · Performance targets, improvement measures and reporting arrangements

**3/ Clinical and Care Governance** · Introduction · Professional Advice · Professionals informing the IJB Strategic Plan · Service User and Carer Feedback

**4/ Finance** · Financial Governance · Payments to IJB · Financial Reporting · Process for Addressing Variance · Redetermination of Payments · Redetermination of Set-aside Amounts · Use of Capital Assets · Financial Statement and External Audit

**5/ Information-Sharing and Data Handling** - Consider any revisions required to Information Sharing Protocol arrangements, and update the section

Sub-groups to review the core text flagged as out of date (dating back to 2015), and revise this.

To consider any other changes required to the section of the scheme and if so, develop draft content

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