

REPORT TO: Audit and Governance Committee

MEETING DATE: 26 September 2017

BY: Depute Chief Executive (Resources & People Services)

SUBJECT: Internal Audit Follow-up Reports

1 PURPOSE

- 1.1 To inform the Audit and Governance Committee of the recent follow-up work undertaken by Internal Audit.

2 RECOMMENDATION

- 2.1 That the Audit and Governance Committee note the findings of Internal Audit's follow-up work on Freedom of Information, Section 75 Payments, Housing Voids and Home to School Transport.

3 BACKGROUND

- 3.1 Internal Audit follows up recommendations made in previously issued audit reports to ensure that they have been implemented as agreed by Management. Detailed spreadsheets are maintained to monitor progress being made and this report provides a summary of the current status for four audits reported in 2016/17. Our findings are detailed below.

Freedom of Information

- 3.2 Internal Audit's report on Freedom of Information was issued in March 2017. Our follow-up review has highlighted that the two recommendations accepted by Management have been implemented.

Section 75 Payments

- 3.3 Internal Audit's report on Section 75 Payments was issued in September 2016. Our follow-up review has highlighted that of the fourteen recommendations made, seven have been fully implemented and significant progress is currently being made in implementing the remaining seven recommendations.

Housing Voids

- 3.4 Internal Audit's report on Housing Voids was issued in September 2016. Our follow-up review has identified that of the nine recommendations made, two have been fully implemented and the remaining seven are outstanding. A detailed spreadsheet outlining the outstanding recommendations has been provided to Management and a further progress report will be brought to the next Committee meeting.

Home to School Transport

- 3.5 Internal Audit's report on Home to School Transport was issued in March 2017. Five recommendations were made as part of the review – the recommendations were agreed with the former service manager. We note that of the five recommendations, one has been partly implemented.
- 3.6 We note that a new manager has recently been appointed and is in the process of reviewing the outstanding recommendations and a further progress report will be brought to the next Committee meeting.

4 POLICY IMPLICATIONS

- 4.1 None

5 INTEGRATED IMPACT ASSESSMENT

- 5.1 The subject of this report does not affect the wellbeing of the community or have a significant impact on equality, the environment or economy.

6 RESOURCE IMPLICATIONS

- 6.1 Financial - None
- 6.2 Personnel - None
- 6.3 Other – None

7 BACKGROUND PAPERS

- 7.1 None

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