

REPORT TO: East Lothian Integration Joint Board

MEETING DATE: 31 March 2016

BY: Chief Officer

SUBJECT: Directions – Opening 2016/17 proposals

1 PURPOSE

- 1.1 This report updates the position for the issuing of directions for the Integrated Joint Board (IJB) for 2016/17

2 RECOMMENDATIONS

It is recommended that the IJB:

- 2.1 Note the current position on the development of the IJB's Direction.
- 2.2 Note that the directions will flow from the IJB's agreed Strategic Plan.
- 2.3 Delegates the authority to issue directions for 1 April 2016 to the IJB's Chief Officer on a 'one-off' basis only. These directions will be presented to the IJB at the first meeting in the new financial year and any further directions will be approved by the IJB prior to issue.
- 2.4 Agree to ask the Audit and Risk Committee to further scrutinise the process and the directions and to report their considerations back to the IJB.
- 2.5 Note the indicative nature of the financial values included in the directions. These financial values will be revisited after the financial assurance process for 2016/17 is completed.

3 BACKGROUND

- 3.1 The Public Bodies (Joint Working) Act 2014 directs that each Integration Authority must prepare a Strategic Plan for those functions that were delegated to the Integration Authority. In East Lothian, the East Lothian Integration Joint Board is the Integration Authority. Having received financial resources from the partners (NHS Lothian and East Lothian Council) the IJB will issue directions to the partners

who will then action these directions to deliver the delegated functions on the IJB's behalf.

3.2 The IJB adopted a policy on directions at its meeting of on 29 November 2015. This policy laid out that:-

- A summary of the proposed Directions relating to the Strategic Plan will be attached to the Strategic Plan as an Appendix. In approving the Strategic Plan, the IJB will approve the Directions
- The Directions will be issued on behalf of the IJB by the Chief Officer to the Chief Executive of the Council or the Health Board as appropriate
- Directions will be issued for all the functions that have been delegated to the IJB
- In totality, the Directions will show the disposition of all the resources allocated to the IJB
- Directions will be as specific as the Strategic Plan requires but all Directions will lay out the actions required along with the resources available. It should be noted that an action might be to not make any changes to the current service.

3.3 Unfortunately because a final financial allocation is not available to the IJB at this time the IJB has had to agree the Strategic Plan on the basis of an outline financial position based on the 2015/16 budgets.

3.4 Further work is underway within all the IJBs in the Lothians to finalise the style and layout of the directions and it will not be possible to fully complete the IJB's directions in time to be distributed for the IJB's meeting on 31 March 2016.

3.5 It is recommended that the authority for issuing directions on 1 April 2016 be delegated to the Chief Officer on a one-off basis in order to get this actioned prior to the beginning of the new financial year.

3.6 The IJB will receive a report at its first meeting in 2016/17 which will lay out the Directions that were issued.

3.7 In principle the IJB has agreed to adopt a template for Directions (attached as Appendix I) which captures the requirements as laid out in the Public Bodies (Joint Working) Act. It will not be possible to complete all the sections of this template for every direction and further work is underway to refine this template

3.8 There will also be a summary position which, based on the financial template within the Strategic Plan will show which Direction relates to each element of the allocated financial resources. This schedule will show the financial resources to be allocated to each direction and will be completed on receipt of an agreed financial proposition from the Partners. An indicative schedule (based on the most recent 2016/17 budgets) is attached for illustration as Appendix II. However, it should be noted that the IJB will issue specific directions regarding the delayed discharge, Integrated Care Fund and the Social Care Fund and this template will have these specific lines added for 2016/17.

3.9 A report was presented to the IJB's Audit and Risk Committee at its meeting on 23 March 2016 laying out the above position. The Committee indicated its agreement with the recommendations above but asked that it should review this process and the directions issued at a future meeting.

4 POLICY IMPLICATIONS

4.1 There are no policy implications arising from this report.

5 EQUALITIES IMPLICATIONS

5.1 Any changes to workforce arrangements will be subject to equality impact assessments.

6 RESOURCE IMPLICATIONS

6.1 There are no resource implications arising from this report.

7 BACKGROUND PAPERS

7.1 East Lothian Integration Scheme

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DATE	29 March 2016

Appendices :-

- 1 – Outline template
- 2 – Indicative Outline Master schedule

Appendix 1 – Draft Template for Directions

TEMPLATE DIRECTION FOR IJBs

The entries in **bold** are those which are required by legislation, the others are either optional in terms of the legislation, or suggested as good practice and common sense.

1	Date	
2	Reference number	
3	Date of IJB meeting at which Direction was authorised	
4	To whom? (council, health board, both)	
5	Purpose - a general statement and description of reasons/logic to give context and help understanding	
6	Does it supersede or amend or cancel a previous Direction? If so, specify	
7	Is it considered to be significant and outside the SP, or within?	
8	Type of function (integrated function or hospital set-aside)	
9	Function(s) concerned, including statutory reference(s)	
10	What is to be done?	
11	How is it to be done? (Reference to services?)	
12	For integrated functions, who is to do it (council, health board, both)?	
13	If given to both, who does what? Singly or together?	
14	For integrated functions, the money being paid by the IJB to either or both to do it (either a specific amount or how the amount is to be calculated)	
15	For integrated functions, how the money is to be used	
16	For hospital set-aside function, the amount	

	allocated from the set-aside budget	
17	For hospital set-aside function, how much of that budget allocation is to be used	
18	Relevant National Health & Well Being Outcomes	
19	Relevant Integration Delivery Principles	
20	Relevant priorities, strategies, outcomes, PIs, etc., from the Strategic Plan	
21	How compliance and performance will be measured and reported on (performance indicators, delivery outcomes, targets etc.)	
22	The information to be provided back and when	
23	Anything else considered necessary or desirable	
24	Principles of Following the Public Pound	
25	Relevance to or impact on other Lothian IJBs and/or other adjoining IJBs	

Note – those in bold above are ‘must do’ actions per the Act.

Appendix II – Indicative Outline Master Schedule

East Lothian Integration Joint Board

Directions Summary

	Indicative Budgets 2016/17	Direction No.
Adult Wellbeing	£000's	
Commissioning Budgets		
Older Persons	13,788	D02
Vulnerable Adults	175	D02
Learning Disability	11,632	D02
Mental Health	1,172	D02
Physical Disability	2,755	D02
Care Management		
SW Disability	965	D02
SW Older People	996	D02
SW Mental Health	308	D02
OT/DRRT/Rehab	624	D02
Welfare Rights	171	D02
Equipment & Adaptations	536	D02
Meals on Wheels	153	D02
Direct Provision		
ECS	166	D02
Dom Care	2,247	D02
Adult Placement	204	D02
Resource Centres	2,167	D02
Older Persons Homes	3,560	D02
Telecare	330	D02
HRA	1,090	D02
Efficiencies	-2,375	D02
Management and other support services	3,126	D02
	43,788	
Health Services		
Core		
Community Hospitals	8,114	D01
Mental Health	3,612	D01
District Nursing	2,231	D01
Health Visiting	1,284	D01
Community AHPS	967	D01
GMS	11,811	D01
Prescribing	18,614	5

Resource Transfer	3,226	6
Delayed Discharge	588	7
Social Care Fund	4,370	8
Integrated Care Fund	1,700	9
Other Core	1,980	D01
	58,497	
Hosted		
Sexual Health	550	D04
Hosted AHP Services	1,403	D04
Hosted Mental Health	1,312	D04
Rehabilitation Medicine	573	D04
Learning Disabilities	1,884	D04
Substance Misuse	635	D04
Oral Health Services	1,259	D04
Hosted Psychology Service	609	D04
Complex Care	376	D04
Lothian Unsched. Care Serv.	1,165	D04
Other	525	D04
Strategic Programmes	1,578	10
	11,869	
Set Aside		
A & E (outpatients)	1,561	D03
Cardiology	3,336	D03
Diabetes	310	D03
Endocrinology	231	D03
Gastroenterology	1,046	D03
General Medicine	6,069	D03
Geriatric Medicine	3,333	D03
Infectious Disease	1,728	D03
Rehabilitation Medicine	440	D03
Respiratory Medicine	102	D03
Other	54	D03
Therapies/Management	1,027	D03
	19,237	
Total IJB	133,391	